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SCULLY, SCOTT, MURPHY & PRESSER
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FACSIMILE TRANSMISSION

To: U. S. Patent and Trademark Office Attention: Corrected Filing Receipt
Date: February 28, 2002
Fax #: 703-308-7751 Pages 3
From: SCULLY, SCOTT, MURPHY & PRESSER

Re: Koji Tokunaga
U.S. Patent Appln. No. 09/994,960
PORTABLE PHONE HAVING RECORDING FUNCTION FOR
RECORDING CALL-AUDIO WITH USING THE MINIMUM
CAPACITY OF MEMORY
Our Docket: 15124

COMMENTS:

The Filing Receipt for the above-identified Patent Application has the state incorrect on our address and should read:

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, NY 11530

Please send to us a corrected Filing Receipt with the information as it is shown on the pages to follow.

Thank you.

If there are any problems concerning this facsimile, please call (516) 742-4343 and ask for Nalini at ext. 595.

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/994,960	11/27/2001	2642	740	15124	4	3	1

CONFIRMATION NO. 1702

FILING RECEIPT



OC000000007214467

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, NJ 11530

Should Read:

N.Y.

Date Mailed: 12/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Koji Tokunaga, Residence Not Provided;

Assignment For Published Patent Application

NEC Corporation, Tokyo, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 360288/2000 11/27/2000

If Required, Foreign Filing License Granted 12/18/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Portable phone having recording function for recording call-audio with using the minimum capacity of memory

Preliminary Class

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APR 12 2002

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**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**Docket No.
15124In Re Application Of: **Koji TOKUNAGA**Serial No.
09/994,960Filing Date
Nov. 27, 2001Examiner
UnassignedGroup Art Unit
2642Invention: **PORTABLE PHONE HAVING RECORDING FUNCTION FOR RECORDING
CALL-AUDIO WITH USING THE MINIMUM CAPACITY OF MEMORY****TO THE ASSISTANT COMMISSIONER FOR PATENTS:****Box Missing Parts****RECEIVED****APR 12 2002****Technology Center 2600**The fee of **\$130.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **19-1013/SSMP**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.



Signature

Paul J. Esatto, Jr., Reg. No. 30,749**SCULLY, SCOTT, MURPHY & PRESSER****400 Garden City Plaza****Garden City, NY 11530****(516) 742-4345**

PJE:ahs

Should Read: NYDated: **February 19, 2002**

I certify that this document and fee is being deposited
on **Feb. 19, 2002** with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Signature of Person Mailing Correspondence

Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

CC:



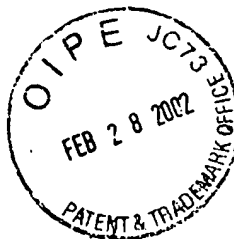
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#2

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,960	11/27/2001	Koji Tokunaga	15124

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Garden City, NJ 11530



CONFIRMATION NO. 1702

FORMALITIES LETTER



OC000000007214468

Date Mailed: 12/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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03/06/2002 SMINASS1 00000023 09394960

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130.00 0P

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.
15124

In Re Application Of: Koji TOKUNAGA

Serial No.
09/994,960

Filing Date
Nov. 27, 2001

Examined
Unassigned

Group Art Unit
2642

Invention: **PORTABLE PHONE HAVING RECORDING FUNCTION FOR RECORDING
CALL-AUDIO WITH USING THE MINIMUM CAPACITY OF MEMORY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.

Signature

Dated: February 19, 2002

Paul J. Esatto, Jr., Reg. No. 30,749
SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, NY 11530
(516) 742-4343

PJE:ahs

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Assistant Commissioner for Patents, Washington, D.C.
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Signature of Person Mailing Correspondence

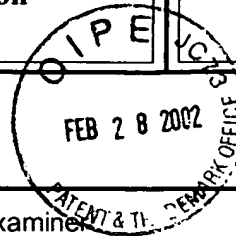
Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
15124

In Re Application Of: **Koji TOKUNAGA**



Serial No.
09/994,960

Filing Date
Nov. 27, 2001

Examiner
Unassigned

Group Art Unit
2642

Invention: **PORTABLE PHONE HAVING RECORDING FUNCTION FOR RECORDING
CALL-AUDIO WITH USING THE MINIMUM CAPACITY OF MEMORY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
December 19, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Recordation Form Cover Sheet in dupl.; Original executed Assignment; \$40.00 check assign. recordal fee
Transmittal of IDS in dupl.; IDS; 3 references
Claim of Priority; Certified Priority Doc. #2000-360288 (Japan)**

**COPY OF PAPERS
ORIGINALLY FILED**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
15124

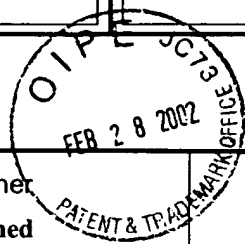
In Re Application Of: **Koji TOKUNAGA**

Serial No.
09/994,960

Filing Date
Nov. 27, 2001

Examiner
Unassigned

Group Art Unit
2642



Invention: **PORTABLE PHONE HAVING RECORDING FUNCTION FOR RECORDING
CALL-AUDIO WITH USING THE MINIMUM CAPACITY OF MEMORY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees **\$0.00**

Total fees due **\$130.00**



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 WASHINGTON, D.C. 20231
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Bib Data Sheet

CONFIRMATION NO. 1702

SERIAL NUMBER 09/994,960	FILING DATE 11/27/2001 RULE	CLASS 379	GROUP ART UNIT 2642	ATTORNEY DOCKET NO. 15124	
APPLICANTS Koji Tokunaga, Kanagawa, JAPAN; ** CONTINUING DATA ***** ** FOREIGN APPLICATIONS ***** JAPAN 360288/2000 11/27/2000 IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 12/18/2001					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials		STATE OR COUNTRY JAPAN	SHEETS DRAWING 4	TOTAL CLAIMS 3	INDEPENDENT CLAIMS 1
ADDRESS SCULLY, SCOTT, MURPHY & PRESSER 400 Garden City Plaza Garden City, NY 11530					
TITLE Portable phone having recording function for recording call-audio with using the minimum capacity of memory					
FILING FEE RECEIVED 870	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

 EA
 11/27/01